ADAPTIVE TESTING
T E C H N O L O G I E S

INTERPRETATION OF K-CAT® TEST SCORES

GUIDELINES FOR A GENERAL POPULATION

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These recommended guidelines are based on client data and observations. To obtain the benefit from using the K-CAT®, your organization should determine whether these interpretation guidelines should be modified to meet the needs and goals of your organization's efforts and user populations. For additional information, visit us at www.adaptivetestingtechnologies.com, email info@adaptivetestingtechnologies.com, or call us at (312) 878-6490 ext. 303.

THE VALUE OF THE K-CAT®

The K-CAT® is a uniquely effective and accurate approach to measuring, tracking, and screening for a variety of conditions and other mental health indicators for children 7-17 years old. Rapid and accurate assessment of child mental health disorders can be used to facilitate identification and referral, and potentially reduce the occurrence of functional disability that stems from early-onset mental disorders. The K-CAT® can also be used for measurement-based care in that repeated assessments at any interval in time can be used to measure change. The K-CAT® currently has modules to screen and measure:

- Anxiety
- Mania/hypomania
- Oppositional Defiant Disorder (ODD)
- Attention-Deficit / Hyperactivity Disorder (ADHD)
- Depression
- Conduct Disorder (CD)
- Suicidality
- Substance Use Disorder

K-CAT® modules use a paired interview format where both the child and a parent or caregiver complete the assessment to maximize accuracy. It is possible to use the K-CAT® in situations where only the child or only the caregiver is available for the assessment, but the diagnostic classification (probability of diagnosis) will not be available. For children, the full battery of modules requires, on average, 7.5 minutes, and adults require approximately 5 minutes. Additionally, K-CAT® modules can be completed on any web-enabled device via a HIPAA-compliant, secure Amazon Web Services (AWS) platform.

Because the K-CAT® has such a low client burden, it is ideal for tracking symptoms remotely between visits, over time, and ultimately, screening children and adolescents to determine their level of need and type of care. However, the real power of the K-CAT® is the accuracy and clarity that it brings to assessment.

The K-CAT® has been validated against structured clinical interviews with precision matching or exceeding the agreement between two trained clinician interviewers. This means that the results of the K-CAT® are aligned with what a trained clinician would find when completing a full structured clinical diagnostic interview, taking an hour or more for both the child and parent.

UNDERSTANDING K-CAT® METRICS

Unlike most traditional measures, the K-CAT® results include four important metrics:

- Severity: a numeric score that indicates how severe a client's presentation for a condition is on a 100-point scale where 0 represents the lowest level of severity and 100 represents the highest level of severity
- Category: a categorization of a client's severity score into the categories: normal, mild, moderate, or severe or low risk, medium risk, high risk, depending on the module
- Precision: a number that represents the degree of uncertainty (i.e. precision) of the severity score. Adaptive testing allows the precision to be fixed to 5 points on a 100-point scale. This allows the clinician to assess the clinical and statistical significance of change at the level of the individual patient at any point in time. This is unique to the K-CAT®.
- Probability of Diagnosis: a number that represents the probability that a client would receive a given diagnosis if a full diagnostic interview was administered where 0 represents the lowest probability and 1 represents the highest probability

The value of these metrics lies in their ability to help a clinician understand the type and degree of psychopathology a client is experiencing at a particular point in time and the degree of uncertainty in that knowledge. This has important

implications for practice because it allows you to measure change over time in a statistically meaningful way, even at the level of the individual patient.

The severity score and accompanying category tell the clinician how a given client is presenting *in relation to* the general population that a given module has been designed to measure. Clinicians can use this information in consultation with the client to triage clients into higher or lower levels of care and determine, when multiple conditions might be present, which conditions should be prioritized. The severity score and category may differ between the child assessment and the caregiver assessment; in general, the maximum of the two is the better estimator of the child's severity level.

The precision estimate is useful in that it gives clinicians the ability to understand how much certainty they should hold about a given severity score. This is valuable for a few reasons. First, a clinician who sees a severity score of 75 with a precision score of 5.0, can know that – scores in the range of 70-80 are within the limits of precision for this measured score of 75. If we were to repeat this assessment 100 times, the true severity would be contained with-in the interval 95% of the time (i.e. 95% confidence interval = severity score plus/minus 1.965*precision). Second, with a precision score, clinicians can determine if a client's change *over time* is clinically or statistically significant.

When using the K-CAT®, there are three ways of categorizing change. It can be: uncertain, clinically significant, and statistically significant. Table 1 below provides a useful example with definitions of each type of change.

TABLE 1: HOW TO USE PRECISION MEASURES TO INTERPRET CHANGE

Case	Precision	Test 1	Test 2	Absolute Distance	Type of Change	Definition of Change Type
A	5.0	75	70	|75-70| = 5	*Uncertain*	A change is not clinically or statistically significant when the second score is still within the bounds of uncertainty (5 points). In this case, any second score between 80 and 70 (inclusive) would be considered an insignificant change.
B	5.0	75	69	|75-69| = 6	*Clinically Significant*	A change is clinically significant when the absolute distance between the first and second scores is larger than the precision score. Change at this level may be clinically meaningful, but it hasn't met the higher bar of statistical significance. In this case, any second score below 70 or above 80 would be *at least* clinically significant.
C	5.0	75	86	|75-64| = 11	*Statistically Significant*	A change is statistically significant when the absolute difference between the first and second scores is more than 2x the level of precision. Where the level of precision is 5, a statistically significant change would need to be more than 10 points away from the first score. Change at this level is likely to present real and meaningful change. In this case, any second score below 65 or above 85 would be statistically significant.

With this information, clinicians have the opportunity to truly understand how a client is doing on a monthly, weekly, or even daily basis, and tailor their care accordingly. Longitudinal analysis of K-CAT® test scores, when used in treatment planning, can help a clinician understand the efficacy of modalities and strategies being used. Traditional mental health

measurement scales do not provide uncertainty estimates and therefore cannot be used to precisely estimate change at the level of the individual patient.

Lastly, the <u>probability of diagnosis</u> is a metric that will be produced for each of the modules <u>if both the child and a caregiver have completed the module</u>. This metric is <u>not</u> available if only the child or only the caregiver completes the assessment. The specific name of this metric will vary by module. For example, the result for the anxiety module would be shown as the probability of Generalized Anxiety Disorder (GAD). However, in each module, this metric can be understood as the probability that a child would receive a given diagnosis if they were given a full diagnostic interview. The benefit of this metric is that clinicians can see the likelihood of a given diagnosis without completing an interview that requires, on average, over an hour to administer.

UNDERSTANDING AREA UNDER THE CURVE (AUC)

AUC is a concept that will be used regularly in the sections below where each module in the K-CAT® detailed. AUC is a measure of diagnostic classification accuracy across the continuous range of the K-CAT® scale score. In this context, the AUC indicates how well, for example, the anxiety module is able to predict whether a client would be given a diagnosis of Generalized Anxiety Disorder if a K-SADS interview were conducted. General guidelines around how to interpret different ranges of AUC values are listed below:

- AUC of 0.5 indicates that an assessment has no ability to discriminate between cases
- AUC between 0.7-0.8 is considered acceptable
- AUC between 0.8-0.9 is considered excellent
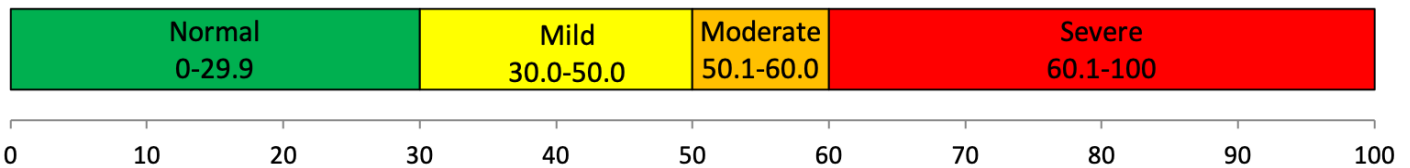- AUC above 0.9 is considered outstanding

All K-CAT® modules have AUCs that fall within the excellent or outstanding range.
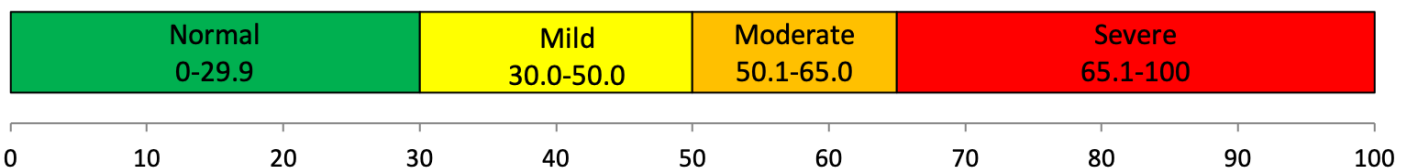
ANXIETY

The anxiety module effectively measures anxiety severity based on the child's experience of symptomatology as well as the caregiver's rating of the child's experience. The module has an item bank of 221 items for youth or 228 items for caregivers, but requires an average of 10 questions administered in an average of two minutes to accurately predict likelihood of a diagnosis of Generalized Anxiety Disorder (GAD) with an AUC=0.83. <u>The anxiety module is best used for screening and measuring anxiety and is ideal for measurement and evaluation over time. Note that the items are not limited to GAD.</u>

Child Severity Thresholds

Normal 0-29.9	Mild 30.0-50.0	Moderate 50.1-60.0	Severe 60.1-100

```
0      10      20      30      40      50      60      70      80      90     100
```

Parent Severity Thresholds

Normal 0-29.9	Mild 30.0-50.0	Moderate 50.1-65.0	Severe 65.1-100

```
0      10      20      30      40      50      60      70      80      90     100
```

Metrics: Severity, Category, Precision, Probability of GAD

Notes:

1. When interpreting assessment results for paired interviews, the maximum severity score is the best estimate of the child's current severity level.

2. Similar to the majority of modules, this module will not produce a Probability of GAD result unless both the child and the caregiver complete the assessment. This is because the combined scores produce the highest diagnostic classification accuracy for all modules of the K-CAT®.
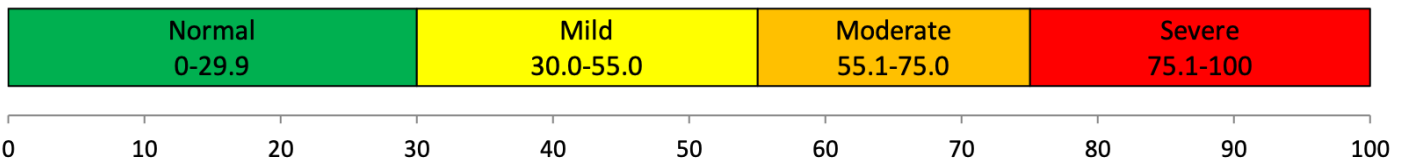
MANIA/HYPOMANIA

The mania/hypomania module effectively measures anxiety severity based on the child's experience of symptomatology as well as the caregiver's rating of the child's experience. The module has an item bank of 161 items for youth and 152 items for caregivers and requires an average of 9 questions over 78 seconds to accurately predict the likelihood of a diagnosis of Bipolar I and II disorder with AUC=0.85. <u>The mania/hypomania module is best used for screening and is ideal for measurement and evaluation over time.</u>

Child Severity Thresholds

Normal 0-29.9	Mild 30.0-50.0	Moderate 50.1-65.0	Severe 65.1-100

0	10	20	30	40	50	60	70	80	90	100

Parent Severity Thresholds

Normal 0-29.9	Mild 30.0-55.0	Moderate 55.1-75.0	Severe 75.1-100

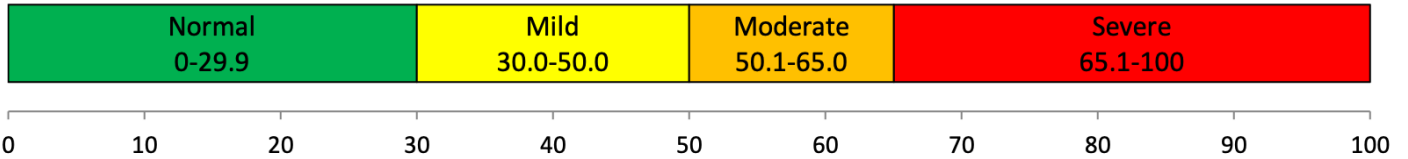| 0	10	20	30	40	50	60	70	80	90	100
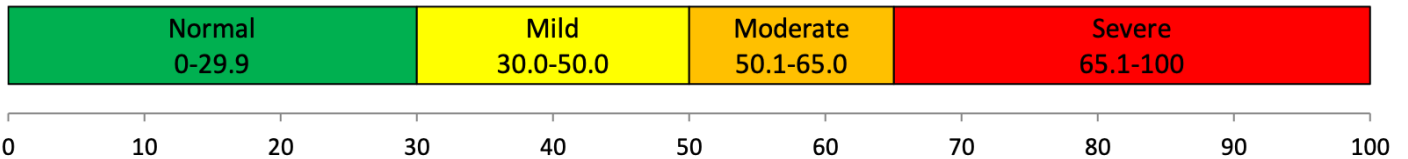
Metrics: Severity, Category, Precision, Probability of BP
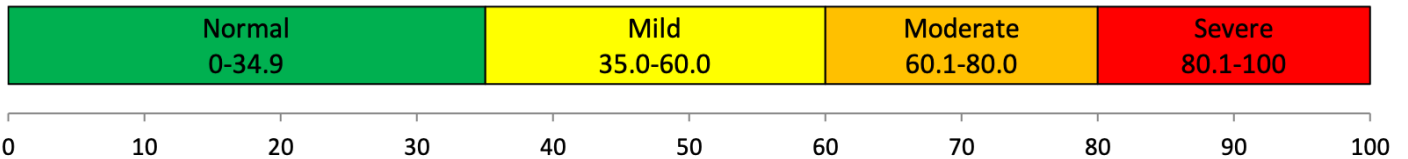
Notes: None

OPPOSITIONAL DEFIANT DISORDER (ODD)

The ODD module effectively measures oppositional defiant disorder symptomatology in the child from the perspective of both the child and caregiver. The module has an item bank of 140 items for youth and 157 items for caregivers and requires an average of 8 questions administered in an average of 64 seconds to accurately predict the likelihood of a diagnosis of ODD with AUC=0.88. <u>The ODD module is best used for screening and is ideal for measurement and evaluation over time.</u>

Child Severity Thresholds

Normal	Mild	Moderate	Severe
0-29.9	30.0-50.0	50.1-65.0	65.1-100

0	10	20	30	40	50	60	70	80	90	100

Parent Severity Thresholds

Normal	Mild	Moderate	Severe
0-34.9	35.0-60.0	60.1-80.0	80.1-100

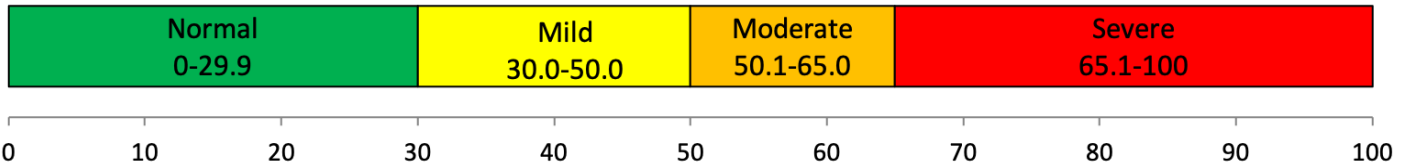| 0	10	20	30	40	50	60	70	80	90	100

Metrics: Severity, Category, Precision, Probability of CD
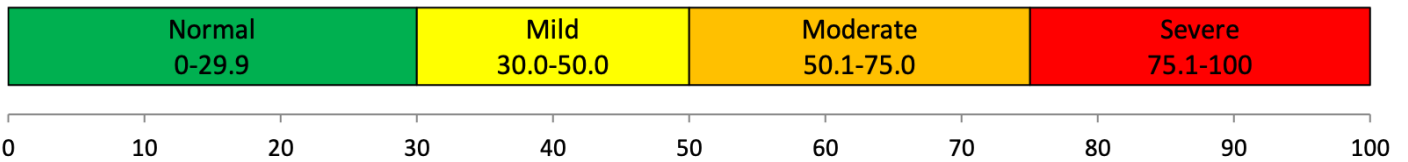
Notes: None

ATTENTION-DEFICIT / HYPERACTIVITY DISORDER (ADHD)

The ADHD module effectively measures attention deficit/hyperactivity disorder symptomatology in the child from the perspective of both the child and caregiver. The module has an item bank of 110 items for youth and 150 items for caregivers and requires an average of 11 questions administered in an average of 109 seconds to accurately predict the likelihood of a diagnosis of ADHD with AUC=0.86. The ADHD module is best used for screening and is ideal for measurement and evaluation over time.

Child Severity Thresholds

Normal 0-29.9	Mild 30.0-50.0	Moderate 50.1-65.0	Severe 65.1-100

```
0      10      20      30      40      50      60      70      80      90      100
```

Parent Severity Thresholds

Normal 0-29.9	Mild 30.0-50.0	Moderate 50.1-75.0	Severe 75.1-100

```
0      10      20      30      40      50      60      70      80      90      100
```
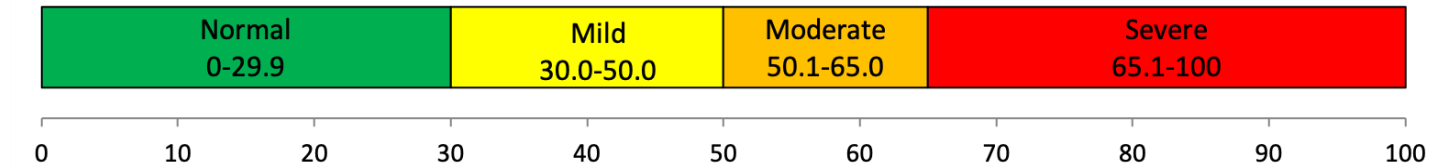
Metrics: Severity, Category, Precision, Probability of ADHD
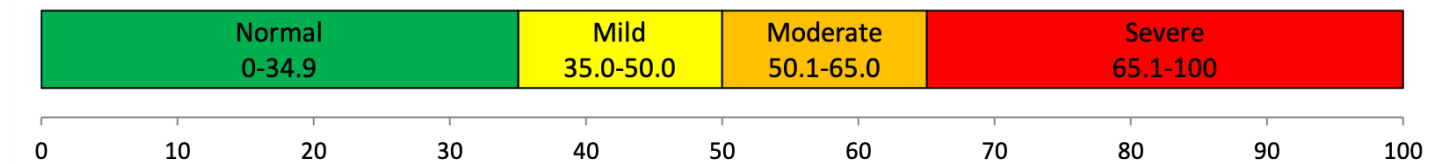
Notes: None

DEPRESSION

The depression module effectively measures depression symptomatology in the child from the perspective of both the child and caregiver. The module has an item bank of 150 items and requires an average of 10 questions administered in an average of 82 seconds to accurately predict the likelihood of a diagnosis of depression with AUC=0.92. The depression module is best used for screening and is ideal for measurement and evaluation over time.

Child Severity Thresholds

Normal	Mild	Moderate	Severe
0-29.9	30.0-50.0	50.1-65.0	65.1-100

0	10	20	30	40	50	60	70	80	90	100

Parent Severity Thresholds

Normal	Mild	Moderate	Severe
0-34.9	35.0-50.0	50.1-65.0	65.1-100

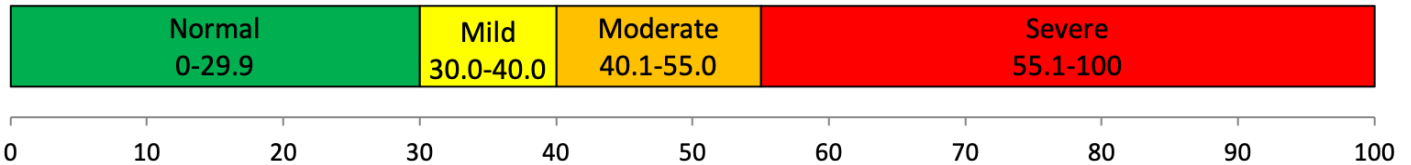| 0	10	20	30	40	50	60	70	80	90	100

Metrics: Severity, Category, Precision, Probability of MDD
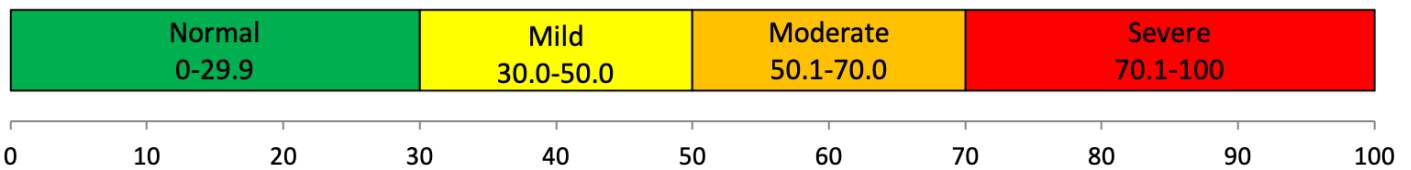
Notes: None

CONDUCT DISORDER (CD)

The conduct disorder module effectively measures conduct disorder symptomatology in the child from the perspective of both the child and caregiver. The module has an item bank of 123 items for youth or 119 items for caregivers and requires an average of 10 questions administered in an average of 133 seconds to accurately predict the likelihood of a diagnosis of conduct disorder with AUC=0.89. The conduct disorder module is best used for screening and is ideal for measurement and evaluation over time.

Child Severity Thresholds

Normal	Mild	Moderate	Severe
0-29.9	30.0-40.0	40.1-55.0	55.1-100

0   10   20   30   40   50   60   70   80   90   100

Parent Severity Thresholds

Normal	Mild	Moderate	Severe
0-29.9	30.0-50.0	50.1-70.0	70.1-100

0   10   20   30   40   50   60   70   80   90   100
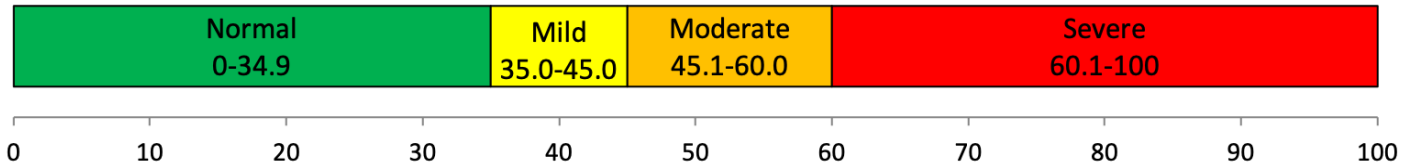
Metrics: Severity, Category, Precision, Probability of CD

Notes: None

SUICIDALITY

The suicide scale module effectively measures suicidal ideation and behavior in the child from the child's perspective. The module has an item bank of 64 items and requires an average of 10 questions administered in an average of 96 seconds to accurately predict the likelihood of a diagnosis of suicidality with AUC=0.996 for clinician rated suicidal ideation, intention, planning, or attempt. This tool is best used to measure suicidality, stratify risk of a future suicide attempt over the next 3 to 6 months, and assess change in the severity of suicidality over time.

Child Severity Thresholds

Normal 0-34.9	Mild 35.0-45.0	Moderate 45.1-60.0	Severe 60.1-100

```
0     10    20    30    40    50    60    70    80    90    100
```

Metrics: Severity, Category, Precision, Probability of Suicide, Alert

Notes:

1. This tool will trigger a suicide warning when a client's mood, thoughts, or behaviors are indicative of possible suicidality.
2. This module does not have a parental component.
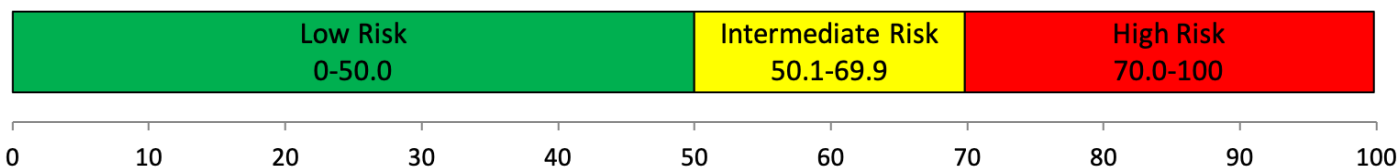
SUBSTANCE USE DISORDER (SUD)

The substance use disorder (SUD) module uses a set of standard <u>diagnostic</u> questions as well as <u>adaptive</u> questions to measure the risk and severity of substance use among youth.

The <u>diagnostic element of the module </u>includes questions related to use of 10 specific substances in the last 30 days including: alcohol, marijuana, nicotine, opioids, cocaine, amphetamines, hallucinogens, inhalants, sedatives, and over the counter medications such as cough syrup or Imodium.

The <u>adaptive SUD severity component</u> provides a crosswalk between substance use and mental health symptoms (depression, anxiety, PTSD), social support and risky behaviors. It can detect SUD risk in youth who have not yet started to use substances or refuse to admit that they are. The severity measure describes the impact of the specific SUD diagnoses on the person's current life.

<u>This combination of diagnostic and adaptive questions enables the SUD module to be effective as a screening tool and for longitudinal measurement.</u>

Child Severity Thresholds

Low Risk 0-50.0	Intermediate Risk 50.1-69.9	High Risk 70.0-100

```
0      10      20      30      40      50      60      70      80      90      100
```

Metrics: Severity, Category, Precision, Diagnosis of specific substance use disorders (either current or lifetime)

Notes:

1. This module will always measure behavior and risk over the last 30 days; this will occur even when other modules reference different timeframes.
2. This module does <u>not</u> have a parental component.

MODULE THRESHOLD TABLES

ANXIETY SEVERITY THRESHOLDS

	Child	Parent/Caregiver
Normal	0-29.9	0-29.9
Mild	30.0-50.0	30.0-50.0
Moderate	50.1-60.0	50.1-65.0
Severe	60.1+	65.1+

MANIA/HYPOMANIA SEVERITY THRESHOLDS

	Child	Parent/Caregiver
Normal	0-29.9	0-29.9
Mild	30.0-50.0	30.0-55.0
Moderate	50.1-65.0	55.1-75.0
Severe	65.1+	75.1+

OPPOSITIONAL DEFIANT DISORDER SEVERITY THRESHOLDS

	Child	Parent/Caregiver
Normal	0-29.9	0-34.9
Mild	30.0-50.0	35.0-60.0
Moderate	50.1-65.0	60.1-80.0
Severe	65.1+	80.1+

ATTENTION-DEFICIT/HYPERACTIVITY DISORDER (ADHD) SEVERITY THRESHOLDS

	Child	Parent/Caregiver
Normal	0-29.9	0-29.9
Mild	30.0-50.0	30.0-50.0
Moderate	50.1-65.0	50.1-75.0
Severe	65.1+	75.1+

DEPRESSION SEVERITY THRESHOLDS

	Child	Parent/Caregiver
Normal	0-29.9	0-34.9
Mild	30.0-50.0	35.0-50.0
Moderate	50.1-65.0	50.1-65.0
Severe	65.1+	65.1+

CONDUCT DISORDER (CD) SEVERITY THRESHOLDS

	Child	Parent/Caregiver
Normal	0-29.9	0-29.9
Mild	30.0-40.0	30.0-50.0
Moderate	40.1-55.0	50.1-70.0
Severe	55.1+	70.1+

SUICIDALITY SEVERITY THRESHOLDS

	Child	Parent/Caregiver
Normal	0-34.9	---
Mild	35.0-45.0	---
Moderate	45.1-60.0	---
Severe	60.1+	---

SUBSTANCE USE DISORDER (SUD) SEVERITY THRESHOLDS

	Child	Parent/Caregiver
Low Risk	0-50.0	---
Intermediate Risk	50.1-69.9	---
High Risk	70.0+	---